

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Neil Riordan

Appl. No. : 09/781,023

Filed : February 9, 2001

For : METHOD FOR INDUCING AN
ANTI-TUMOR AND ANTI-
CACHEXIA IMMUNE
RESPONSE IN MAMMALS

Examiner : N. Davis

Group Art Unit : 1642

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Dale C. Hunt, Ph.D., Reg. No. 41,857

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ATTENTION: APPLICATION BRANCH

Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) An Information Disclosure Statement.
- (X) A PTO Form 1449 with three (3) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

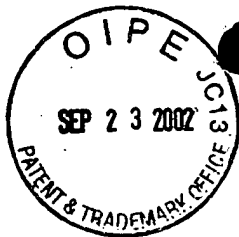
(Signature)

Dale C. Hunt, Ph.D.

Registration No. 41,857

Attorney of Record

RIORD.006A



PATENT

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INFORMATION DISCLOSURE STATEMENT

United States Patent and Trademark Office
P.O. Box 2327
Arlington, VA 22202

Dear Sir:

Enclosed is form PTO-1449 listing three references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than

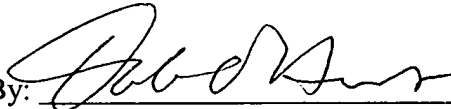
Appl. No. : 09/781,023
Filed : February 9, 2001

30 days prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: 19 Sept 2002

By: 

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